PATENT

DOCKET NO. GOLOWICH 10-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven E. Golowich, et al.

Serial No.:

N/A

Filed:

Herewith

For:

SYSTEM AND METHOD FOR DETERMINING PROPAGATION

CHARACTERISTICS OF PHOTONIC STRUCTURES

Group No.:

N/A

Examiner:

N/A

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence, including the attachments listed, is being deposited with the

8-1-200

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

Foreign Patent No.	Country	<u>Date</u>
WO 00/65386	PCT/WO	2 November 2000
WO 00/22466	PCT/WO	20 April 2000

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 08-2395.

Respectfully submitted,

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Substitute for form 1449A/PTO		Complete if Known				
Substitute for form 1445/41 10				Application Number	N/A	
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STATEMENT BY APPLICANT				First Named Inventor	Steven E. Golowich, et al.	
•	(Use as many sheets as necessary)			Art Unit	N/A	
				Examiner Name	N/A	
Sheet	1	of 1		Attorney Docket Number	LUCT-125176	

			U.S. PATENT D	OCUMENTS		
Examiner Cite Initials* No.	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code ^{2 (# known)}			Figures Appear	
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	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	 -6			
Initials*	No.¹	Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear				
		WO 00/65386	11/02/2000	Massachusetts Institute of Technologies					
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.